



Declaration of Conformity to EU RoHS Directive 2011/65/EU

Dec. 19, 2012

To whom it may concern,

We, Applied Optoelectronics, Inc. hereby guarantee that all parts, sub-materials and the packing/packaging materials are compliant with the requirements of the RoHS Directive 2011/65/EU. The following table lists the restricted materials and their respective allowable limits :

| RoHS Restricted Substance | Allowable Limit (at homogenous material level) |
|---------------------------------------|---|
| Cadmium and its compounds | 100 ppm (0.01 weight %) |
| Mercury and its compounds | 1000 ppm (0.1 weight %) |
| Hexavalent chromium and its compounds | 1000 ppm (0.1 weight %) |
| Lead and its compounds | 1000 ppm (0.1 weight %) |
| Polybrominated biphenyls (PBB) | 1000 ppm (0.1 weight %) |
| Polybrominated diphenyl ethers (PBDE) | 1000 ppm (0.1 weight %) |

RoHS Exemption :

Exemption 6(a) : Lead as an alloying element in steel for machining purposes and in galvanised steel containing up to 0.35 % lead by weight

Exemption 6(c) : Copper alloy containing up to 4 % lead by weight

Exemption 7(a) : Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead)

Exemption 7(c)-I : Electrical and electronic components containing lead in a glass or ceramic other than dielectric ceramic in capacitors, e.g. piezoelectric devices, or in a glass or ceramic matrix compound

Exemption 13(a) : Lead in white glasses used for optical applications

Sincerely,

Ford Li / General Manager